

Substitute for form 1449A/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		Application No.	09/982,286
		Filing Date:	10/16/2001
		First Named Inventor	Fruehauf
		Group Art Unit	1042 1643
		Examiner Name	J. Burke D. Humphrey
Sheet 1 of	Attorney Docket No.	97,150-C	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
DH		6,303,324	B1	Fruehauf	10-16-2001	

FOREIGN PATENT DOCUMENTS					

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
DH		SALVESAN et al., "Significance of Tumour-Associated Macrophages, Vascular Endothelial Growth Factor and Thrombospondin-1 Expression for Tumour Angiogenesis and Prognosis in Endometrial Carcinomas", Int. J. Cancer, 1999, 84:539-543.	
DH		HEDGE et al., "Tumor Angiogenesis and p53 Mutations", Arch Otolaryngol Head Neck Surg, Jan 1998, 124:80-85.	
DH		OSHIKA et al., "Thrombospondin 2 Gene Expression Is Correlated with Decreased Vascularity in Non-Small Cell Lung Cancer <sup>1</sup> ", Clin Can Res. Jul. 1998, 4:1785-1788. 1998	
DH		BORING et al., "Cancer Statistics, 1992", CA-A Cancer Journal for Clinicians, January/February 1992, 42:19-38.	
DH		MANSOUR et al., "Efficacy of Adjuvant Chemotherapy in High-Risk Node-Negative Breast Cancer", N. Engl. Med., 1989, 320:485-490.	
DH		OSBOURNE et al., "Prognostic Factors for Breast Cancer: Have They Met Their Promise", J. Clin. Oncol., May 1992, 10:679-682.	
DH		ALLEGRA et al., "Association Between Steroid Hormone Receptor Status and Disease-Free Interval in Breast Cancer", Cancer Treat. Rep., 1979, 63:1271-1277.	
Examiner Signature		<i>David Humphrey</i>	Date Considered 11/14/05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English translation is attached.

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Sheet 2 of 3



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Application No.	09/982,286
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Group Art Unit	1642-1643
Examiner Name	J. Burke D. Humphrey
Attorney Docket No.	97,150-C

## OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
DH		VON ROSEN et al., "Prognostic Value of Nuclear DNA Content in Breast Cancer in Relation to Tumor Size, Nodal Status, and estrogen receptor content", Breast Cancer Res. And Treat. 1992, 13:23-32.
DH		FISHER et al., Neoplasms of the Breast", J. Natl. Cancer. Inst., 1992, 1706-1774.
DH		CLARK et al., Prediction of Relapse or Survival in Patients with Node-Negative breast Cancer by DNA Flow Cytometry", New Engl. J. Med., 1994, 320:627-633.
DH		FISHER et al., "Prognostic Factor in NSABP Studies of Women with Node-Negative Breast Cancer", Journal of the National Cancer Institute Monographs, 1992, 11:151-158.
DH		EARLY BREAST CANCER TRIALISTS' COLLABORATION GROUP, "Systemic Treatment of Early Breast Cancer by Hormonal, Cytotoxic, or Immune Therapy", Lancet, 1992, 339:1-15.
DH		THE LUDWIG BREAST CANCER STUDY GROUP, "Prolonged Disease-Free Survival After One Course of Perioperative Adjuvant Chemotherapy for Node-Negative Breast Cancer" N. Engl. Journal of Medicine, vol 320, no. 8 pages 491-496. 1989
DH		GASPARINI et al., "Evaluating the Potential Usefulness of New Prognostic and Predictive Indicators in Node-Negative Breast Cancer Patients", Jour. of the National Cancer Insti. 1993, vol. 85, no. 15 pages 1206-1219.
DH		FIGGE et al., "Image Analysis Quantitaion of Immunoreactive Retinoblastoma Protein in Human Throid Neoplasms with a Streptavidin-biotin-peroxidase Staining Technique" Amer. J. Pathol. 1991, 139:1213-1221.
DH		ESTEBAN et al., "Improvement of the Quantification of Estrogen and Progesterone Receptors in Paraffin-Embedded Tumors by Image Analysis", Amer. J. Clin. Pathol., 1993, 99:32-38.
DH		BEVILACQUA et al., "Prognostic Value of Intratumoral Microvessel Density, a Measure of Tumor Angiogenesis, in node-negative breast carcinoma-results of a multiparametric study", Breast Cancer Research and Treatment, 1995, 36:205-217.
Examiner Signature	<div>David Humphrey</div> <div>Date Considered 11/14/05</div>	

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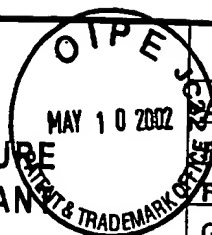
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Sheet 3 of 3



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DH		ZABRENETZKY et al., "Expression of the Extracellular Matrix Molecule Thrombospondin Inversely Correlates with Malignant Progression in Melanoma, Lung and Breast Carcinoma Cell Lines", Int. J. Cancer, 1994, 59:191-195.
DH		IWAYA et al., "Nuclear p53 Immunoreaction Associated with Poor Prognosis of Breast Cancer", Jpn. J. Cancer Res., July 1991, 82:835-840.
DH		GASPARINI et al., "Clinical Importance of the Determination of Tumor Angiogenesis in Breast Carcinoma: Much More Than a New Prognostic Tool", Journal of Clinical Oncology, March 1995, vol. 13, No. 3, 765-782.
DH		GROSSFELD et al., "Thrombospondin-1 Expression as an Independent Prognostic Indicator in Invasive Transitional Cell Carcinoma of the Bladder", Proceedings of the American Urological Association, May 1996, vol. 155 Supplement 688A Abstract 1508.

Examiner Signature	<i>David Humphrey</i>	Date Considered	11/14/05
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